

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicants: Stephen John Hinde,

et al.

Re: Information Disclosure Statement

Group: not yet assigned

Filed: November 21, 2001

Serial No.: 09/990,768

Examiner: not yet assigned

For: "VOICE COMMUNICATION CONCERNING) Our Ref: B-4401 619339-8

A LOCAL ENTITY"

) Date: February RECEMED

Hon. Commissioner of Patents and Trademarks

APR 0 9 2002

Washington, D.C. 20231

Technology Center 2600

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on Form-1449 (modified) include those cited in the Search Reports of corresponding British Application Nos. GB 0028804.3, GB 0028775.5 and GB 0028810.0. Copies of the British Search Reports (1 page each) are enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance

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of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington D.C. 20231",

on February 18, 2002 by Syzame Johnston.

Respectfully submitted,

Richard P. Berg Attorney for Applicant Reg. No. 28,145

LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, CA 90036 (323) 934-2300

Enclosures: Form PTO-1449 (modified) (1 page)
Copy of Search Reports of GB 0028804.3, GB 0028775.5 and
GB 0028810.0 (1 page each)
Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4401 619339-8	U.S. SERIAL NO. 09/990,768	
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Stephen John Hinde, et al.		
	FILING DATE November 21, 2001	GROUP not yet assigned	

PATENT DOCIMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	RECEIVED	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
			APR 0 9 2002			
			Technology Center 2600			

PATENT DOCUMENTS

			FOREIGH PATENT DOCUMENT			
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO	
2 327 564 A	1/99	GB				
2 348 777 A	10/00	GB				
2 359 215 A	8/01	GB				
00/30329 A1	5/00	WO				
0 820 028 A2	1/98	EP				
197 47 745 A1	7/99	DE			Yes	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Introduction and Overview of W3C Speech Interface Framework," W3C Working Draft, 21 pages (September 11, 2000).
L	VoiceXML Forum Version 1.00, 101 pages (March 7, 2000).

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered whether or not of	

SCHEMBARS: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.